

# Parameter-Efficient Transfer from Sequential Behaviors for User Modeling and Recommendation

## SIGIR2020

**PeterRec Data&Code:** [https://github.com/fajieyuan/sigir2020\\_peterrec](https://github.com/fajieyuan/sigir2020_peterrec)

Fajie Yuan (Tencent) ; Xiangnan He (University of Science and Technology of China)  
Alexandros Karatzoglou (Google Research); Liguang Zhang (Tencent)

# Outline

- Motivation
- Related Work
- PeterRec
- Experiments

Users engage with recommender systems and provided or left feedback.

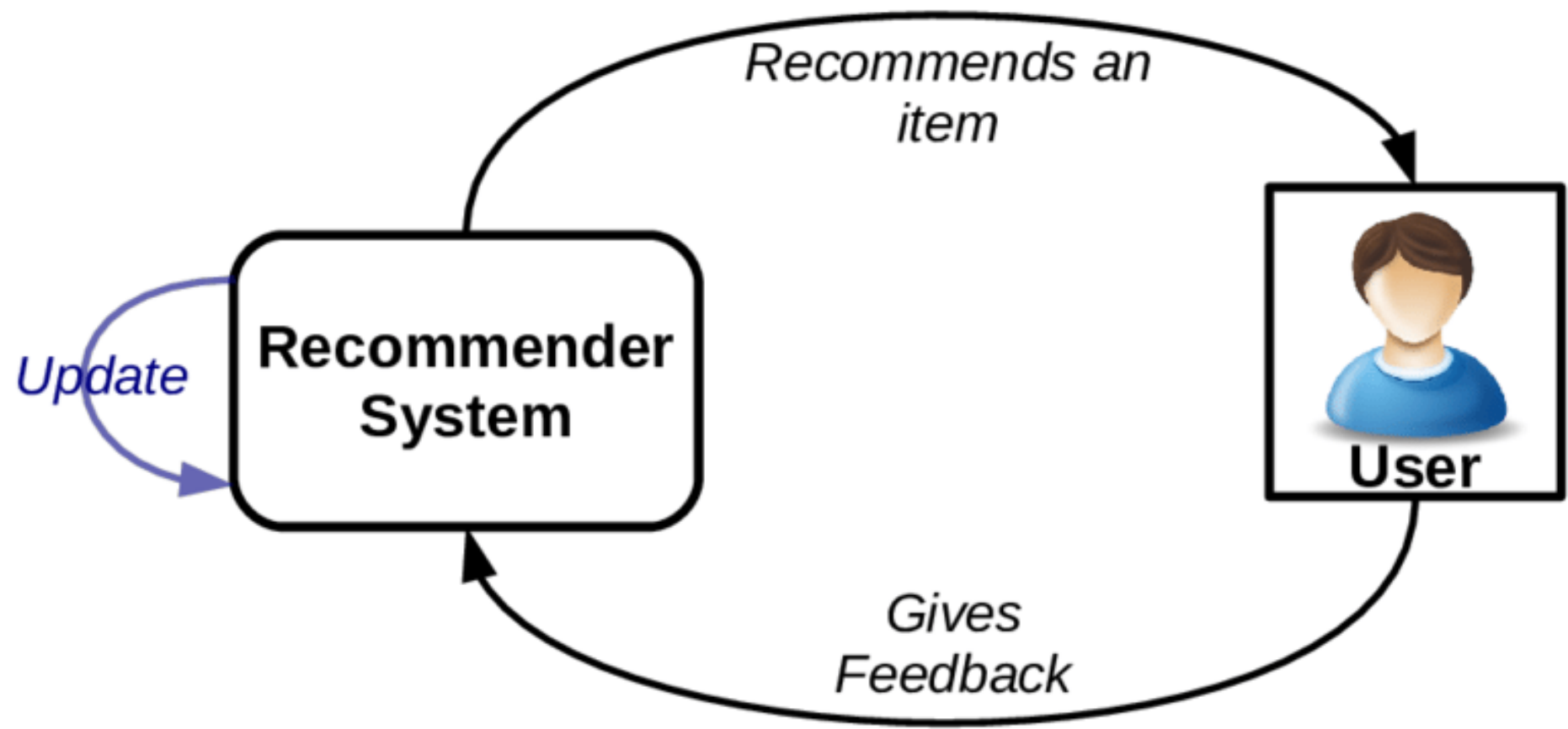
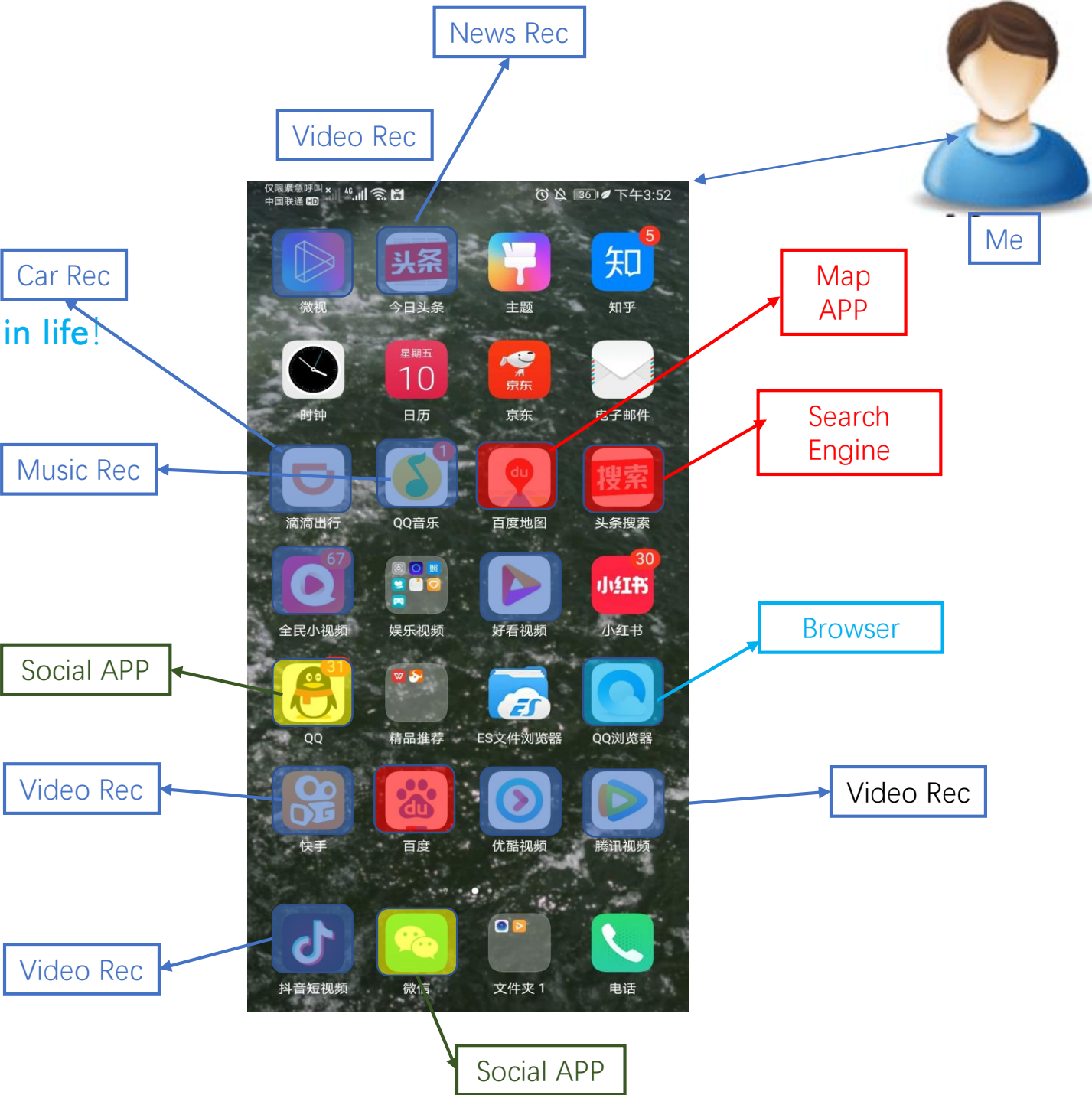


Figure: [https://www.researchgate.net/figure/The-sequential-recommendation-process-After-the-RS-recommends-an-item-the-user-gives\\_fig4\\_311513879](https://www.researchgate.net/figure/The-sequential-recommendation-process-After-the-RS-recommends-an-item-the-user-gives_fig4_311513879)

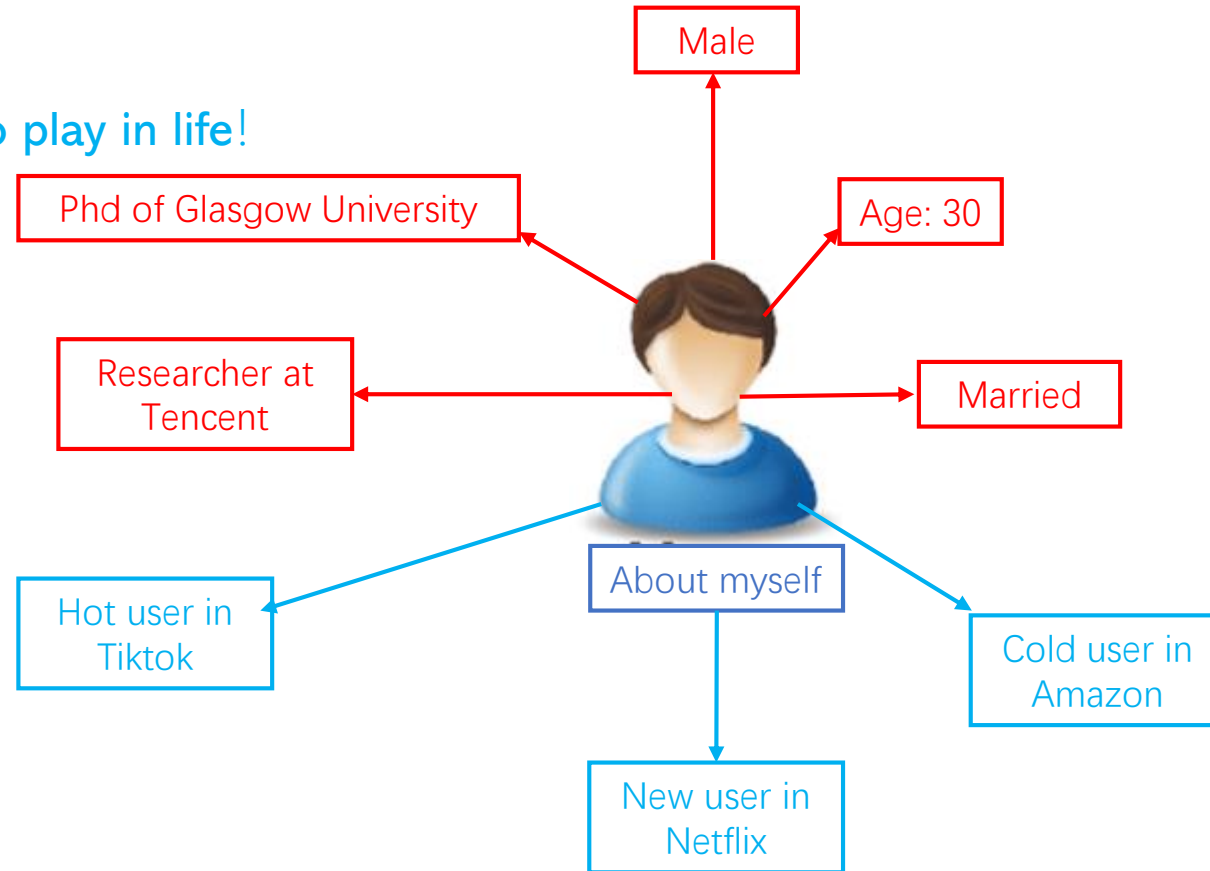
# our Motivation

A user has different roles to play in life!



# our Motivation

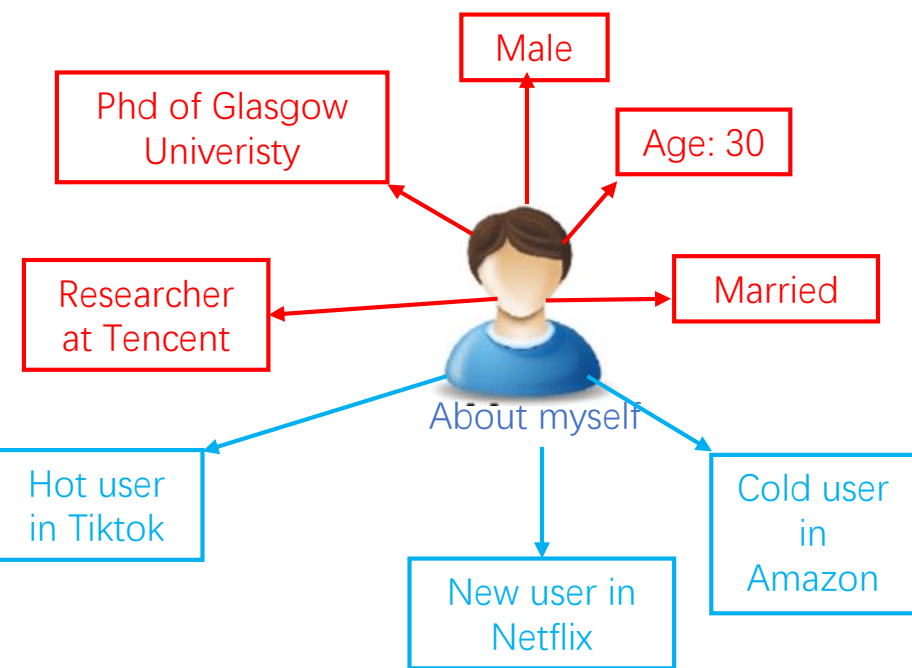
A user has different roles to play in life!



## My user Model

# our Motivation

A user has different roles to play in life!



## My user Model

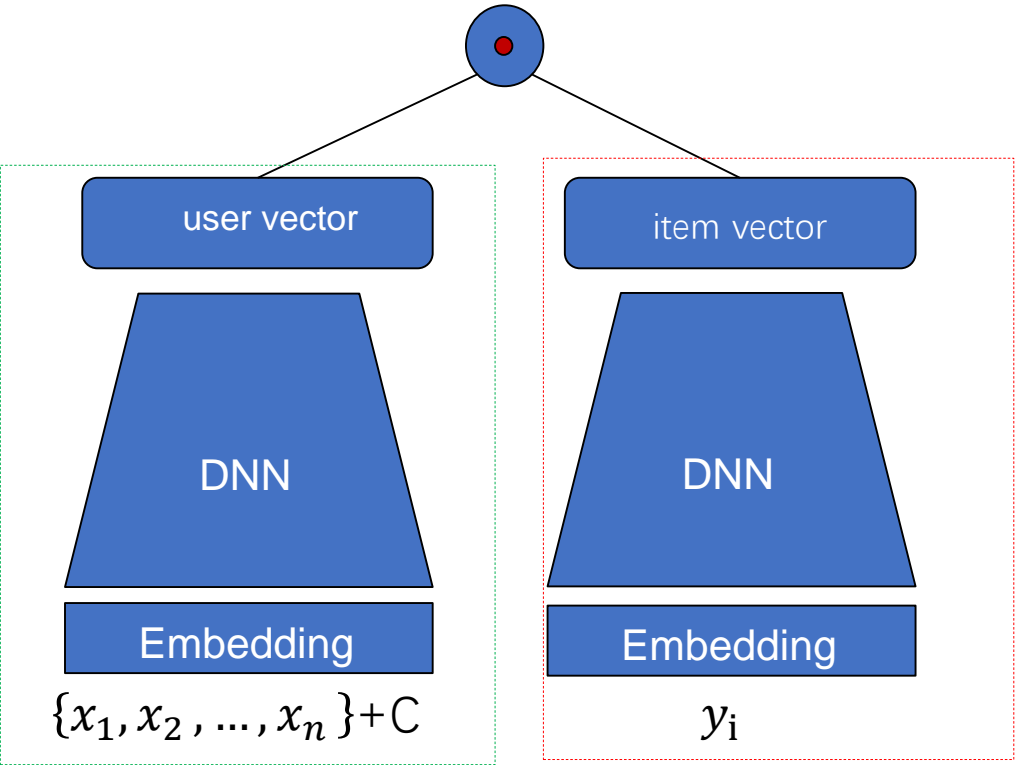
Our PeterRec



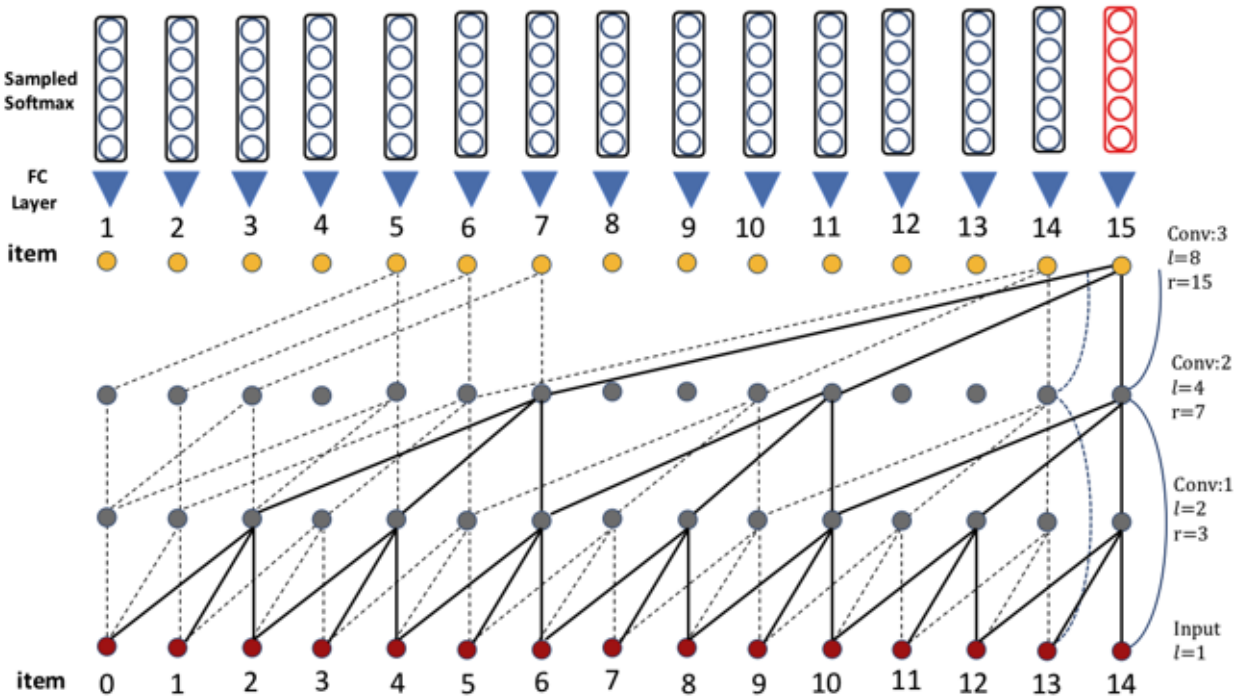
# Outline

- Motivation
- **Related Work**
- PeterRec
- Experiments

- Recommendation Background:
  - (1) Content & Context Recommendation
  - (2) Session-based Recommendation: recommending the next item based on previously recorded user interactions.



A DSSM (Non-sequential) RS model (Supervised Learning)



Sequential NextItNet (Self-supervised Learning)

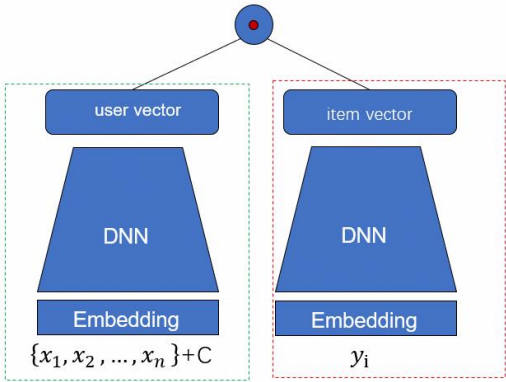


# Why sequential recommendation?

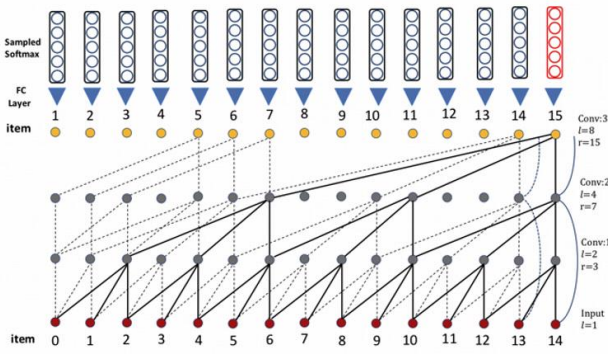
- Short-videos (Tik Tok, Weishi, Kuaishou)
- Music (Tencent music, Yahoo! Music) & News
- Movie clips (You Tube, Netflix)

## NonSeq Rec vs. Seq Rec:

- Only Static vs. Dynamic Preference
- Manual Feature Engineering vs. Manual-free Features
- Supervised Learning vs. Unsupervised (self-supervised) Learning



A DSSM (Non-sequential) RS model (Supervised Learning)



Sequential NextItNet (Self-supervised Learning)

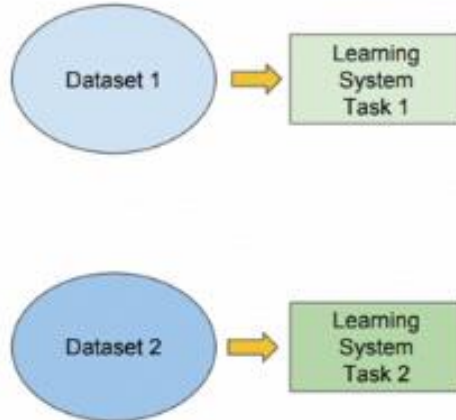


# Transfer Learning Background

TL aims to extract the knowledge from one or more source tasks and applies the knowledge to a target task.

## Traditional ML

- Isolated, single task learning:
  - Knowledge is not retained or accumulated. Learning is performed w.o. considering past learned knowledge in other tasks



vs

## Transfer Learning

- Learning of a new task relies on the previous learned tasks:
  - Learning process can be faster, more accurate and/or need less training data

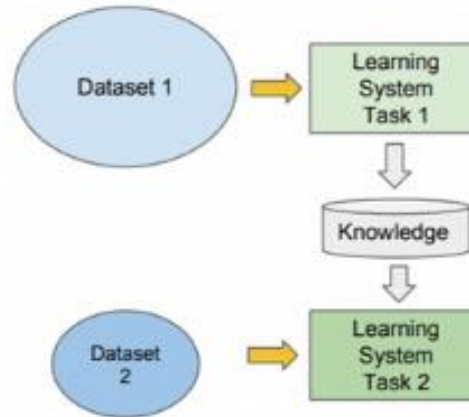


Figure: A Comprehensive Hands-on Guide to Transfer Learning with Real-World Applications in Deep Learning, online

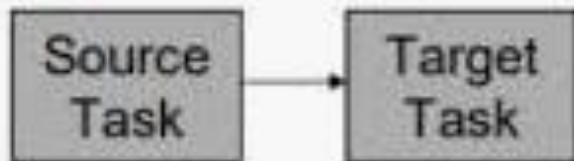
# Transfer Learning (TL) vs Multi-task Learning (MTL)

	Training	Testing
Transfer Learning	Task 1	Task 2
Multi-task Learning	Task 1 ... Task N	Task 1 ... Task N

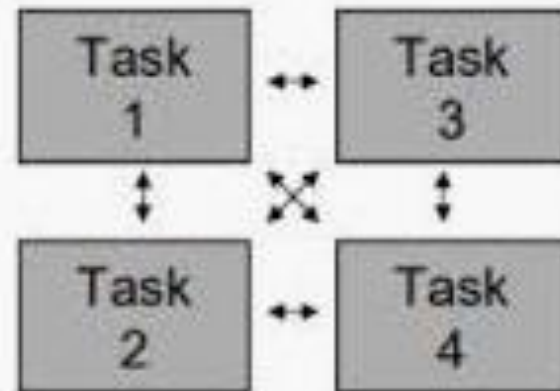
## TL vs MTL

- Two-stage training vs joint training
- One objective vs multiple objectives
- Care only target vs. care all objectives

### Transfer Learning



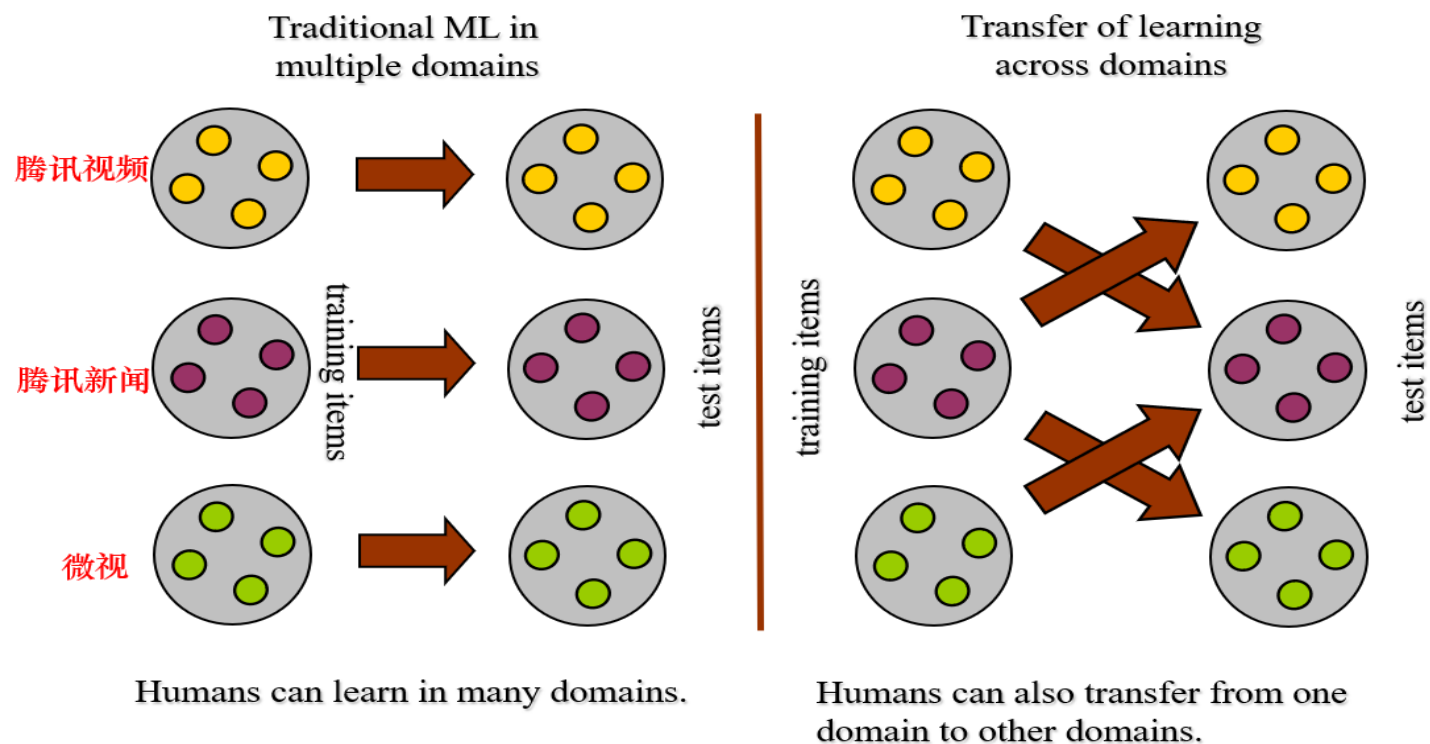
### Multi-task Learning



# Transfer Learning (TL) for Recommender System (RS)

## Motivation:

- User representation may be generic, since their preference tends to be similar across different recommendation task. That is, user's engagement in previous platforms may be important training signals for other systems.
- Traditional ML models usually fail to when modeling new or cold users due to lack of interaction data



[1]

# Outline

- Motivation
- Related Work
- **PeterRec**
- Experiments



# Transfer Learning (TL) for Recommender System (RS)

## Task description

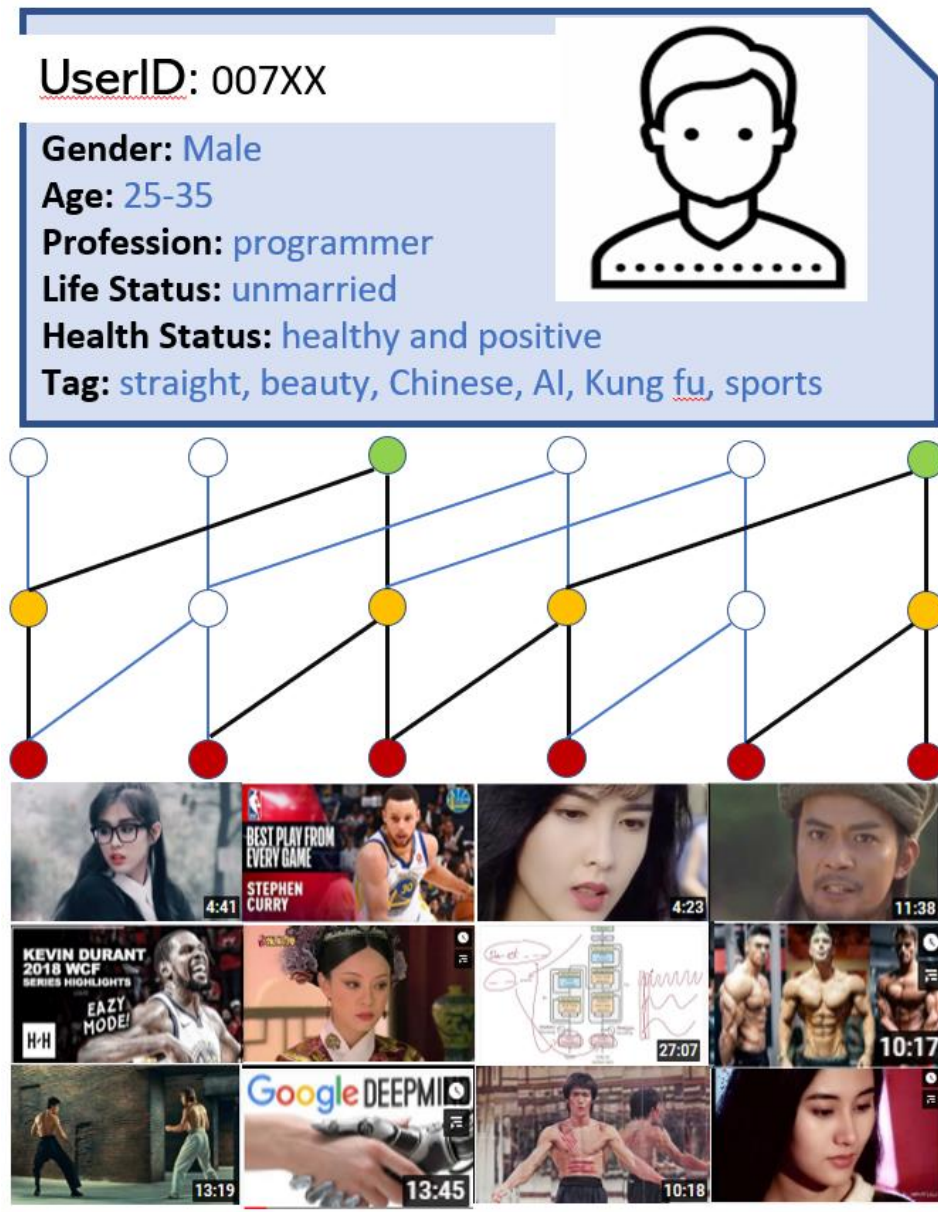
Source data:  $(u, x^u)$ , where  $x^u = \{x_1^u, x_2^u, \dots, x_n^u\}$ ,  
where  $x_t^u$  denotes the  $t$ -th interacted item of user  $u$

Target data:  $(u, y)$  where  $y$  is the supervise label in the target dataset

## Example

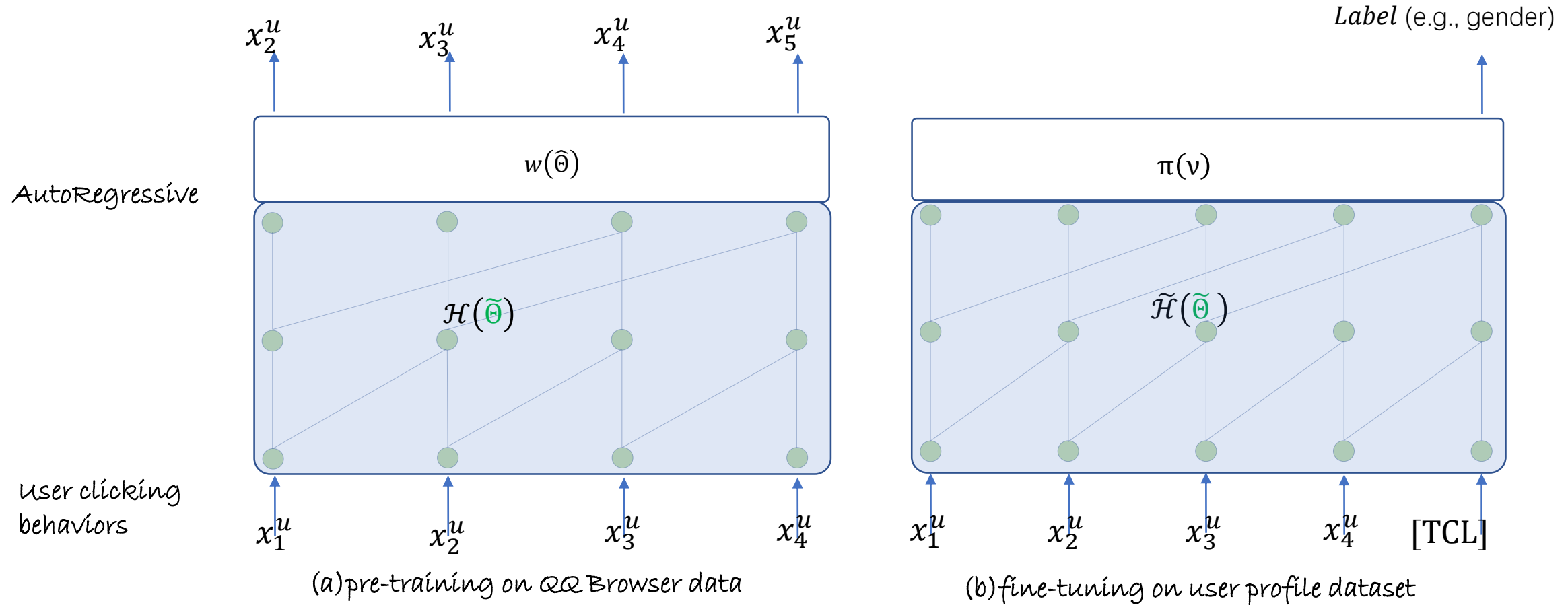
Source data: user's watching activities in Tencent QQ Browser

Target data: user's watching activities in Kandian, but users are cold or new here  
or user's profile labels e.g. age, gender, lifestatus, etc.



# PeterRec Architecture

NextItNet-style neural network



# What can be done by PeterRec

- Cold-start problem, e.g., ads rec
- User profile prediction, e.g., gender prediction

UserID: 007XX

Gender: Male

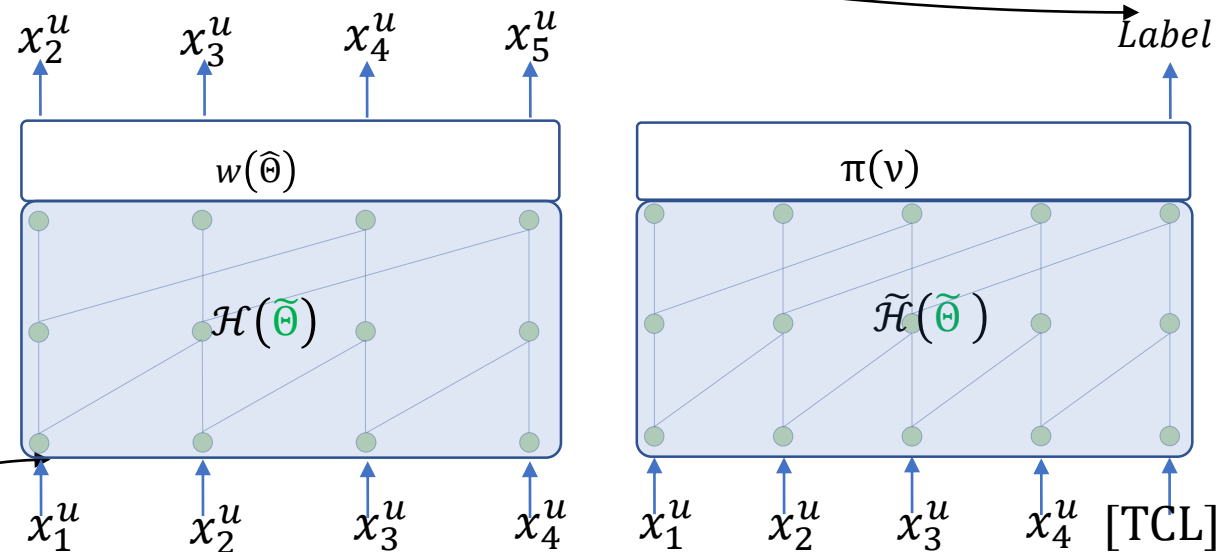
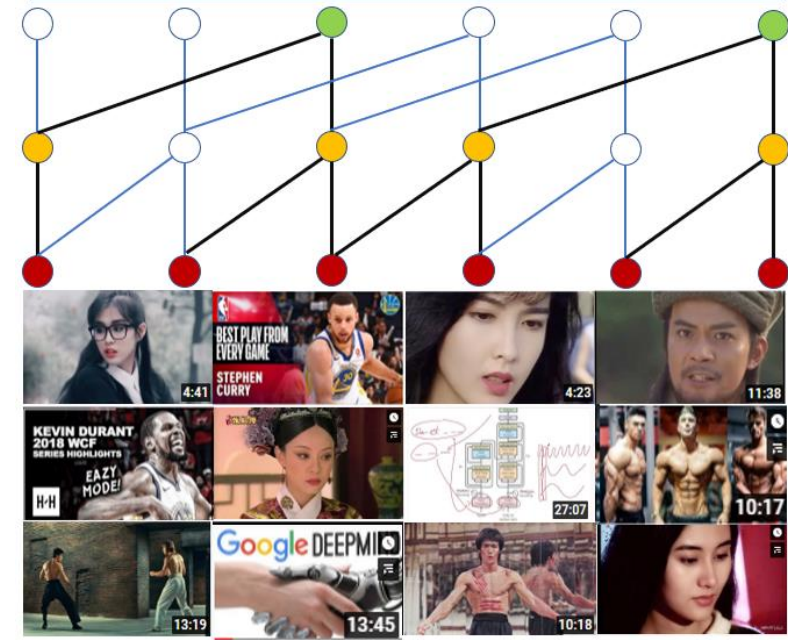
Age: 25-35

Profession: programmer

Life Status: unmarried

Health Status: healthy and positive

Tag: straight, beauty, Chinese, AI, Kung fu, sports



(a) pre-training

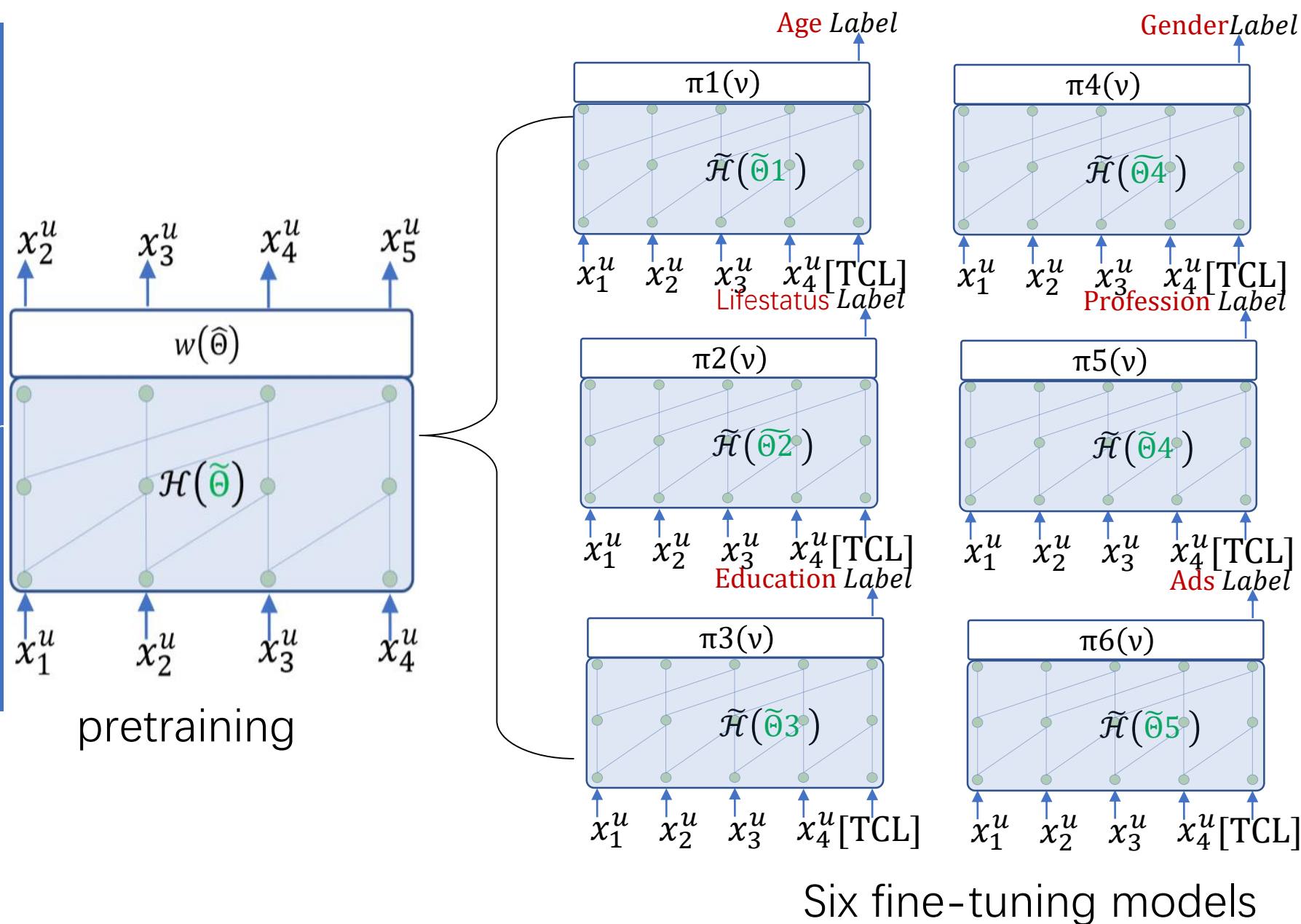
(b) fine-tuning



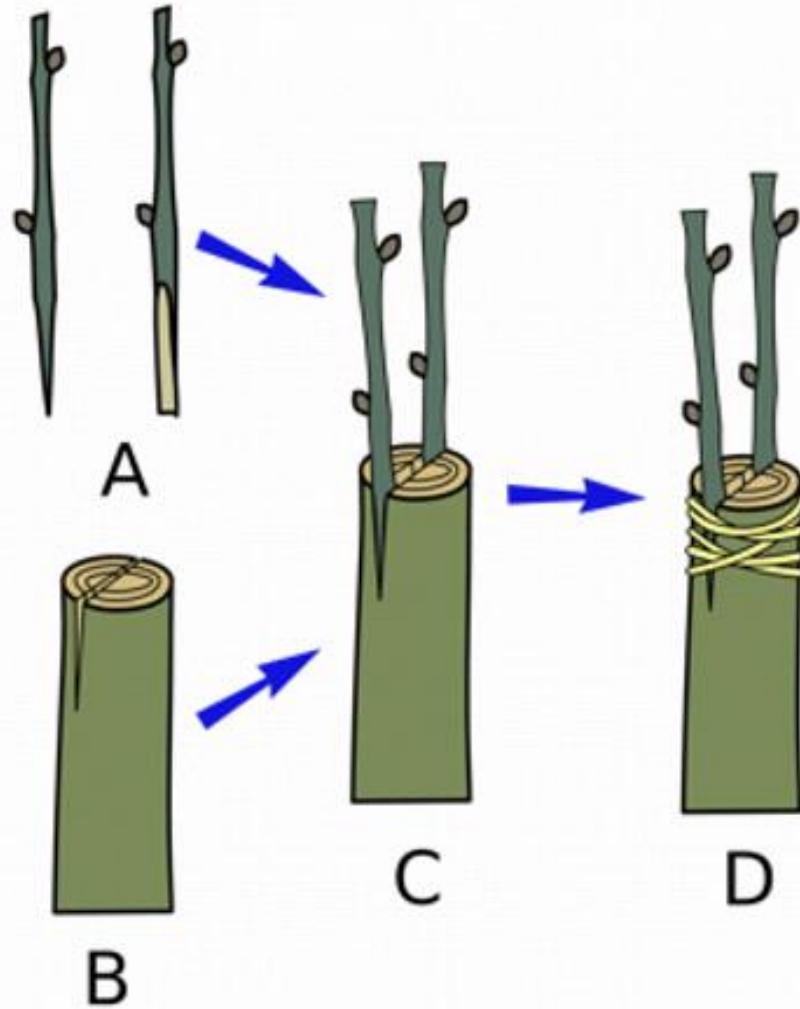
Problems we meet when a number of tasks are required.

Training a separate model for each downstream task is parameter-inefficient since both pretraining & finetuning models are very large.

The number of finetuned models is as many as the number of downstream tasks.  
100 tasks=100 finetuned models

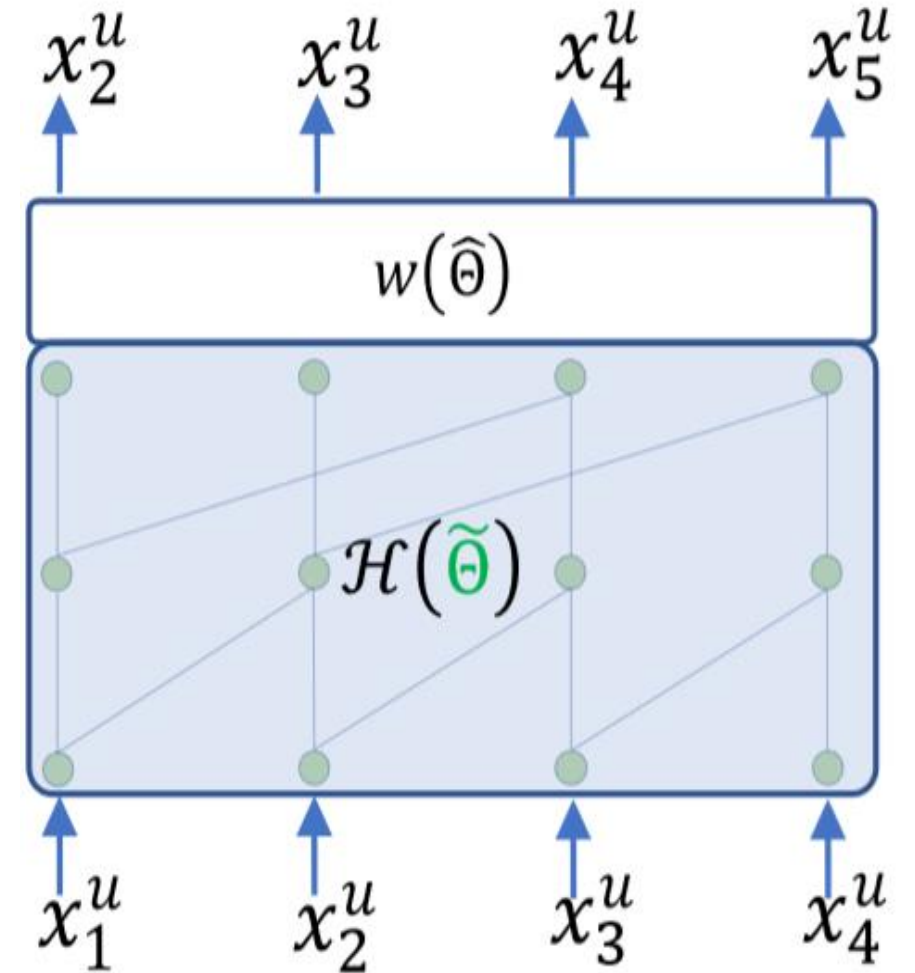


# Taking inspiration from grafting



Tree

A: branch of plum  
B: Tree of peach  
C: insertion  
D: grow together



Pretrained model

Pretrained model is treated as the peach Tree.

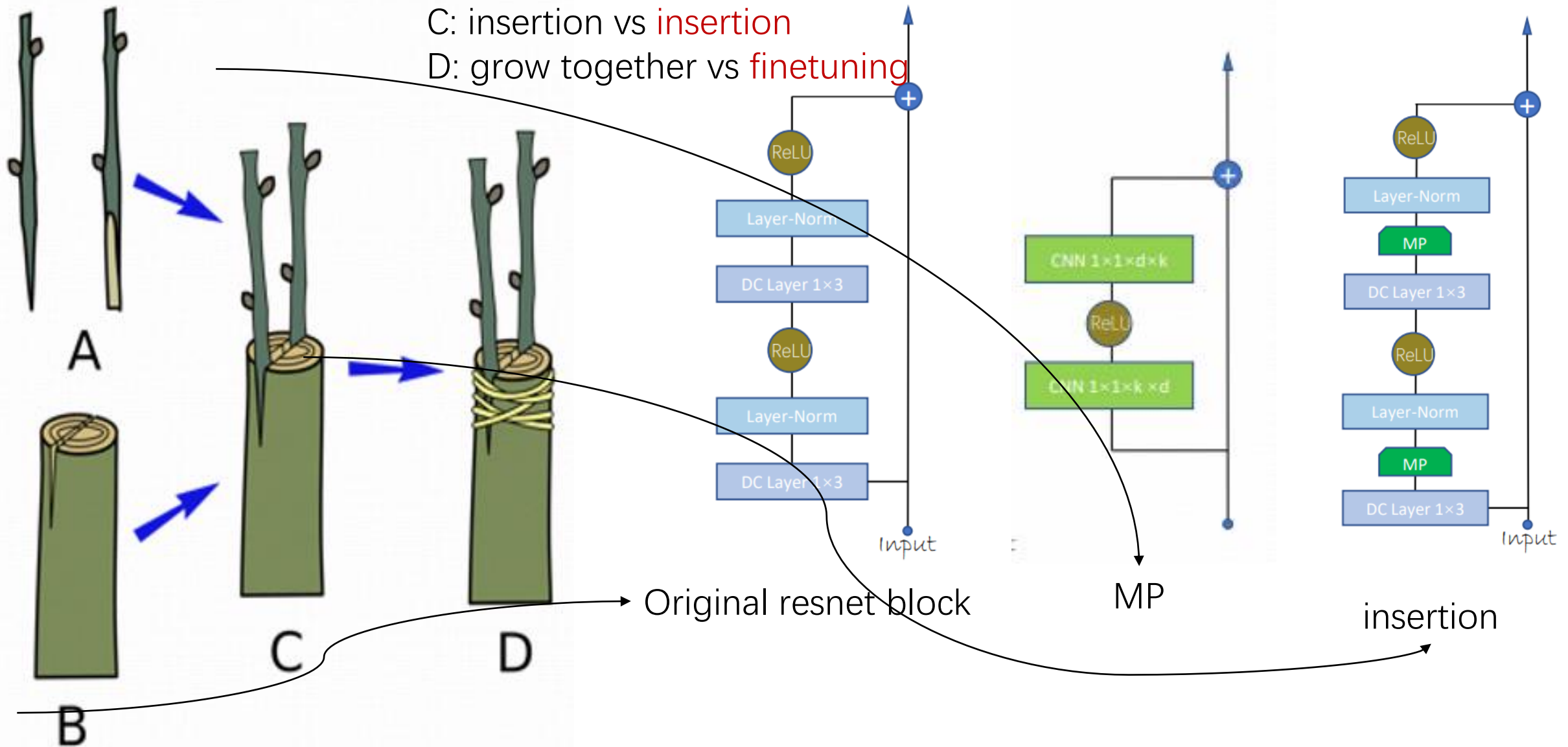
# Grafting for plants.

A: branch of plum vs **MP**

B: Tree of peach vs **pretrained model**

C: insertion vs **insertion**

D: grow together vs **finetuning**



# Outline

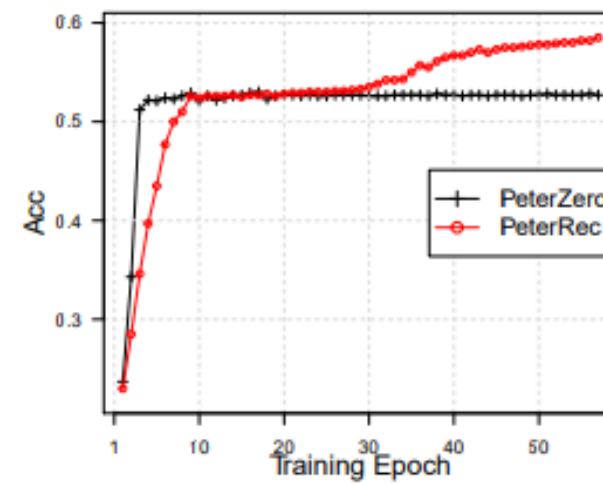
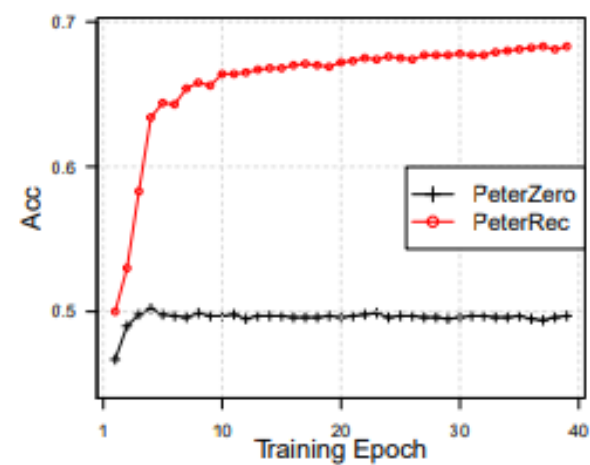
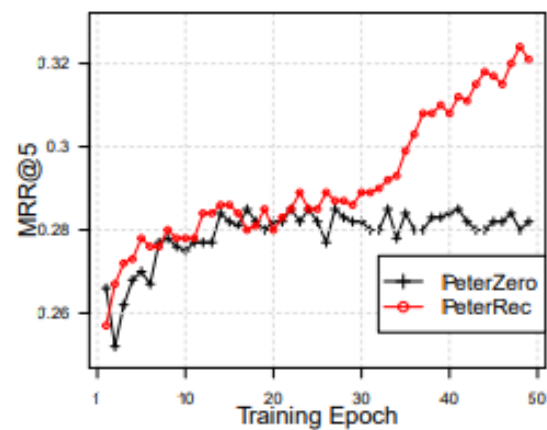
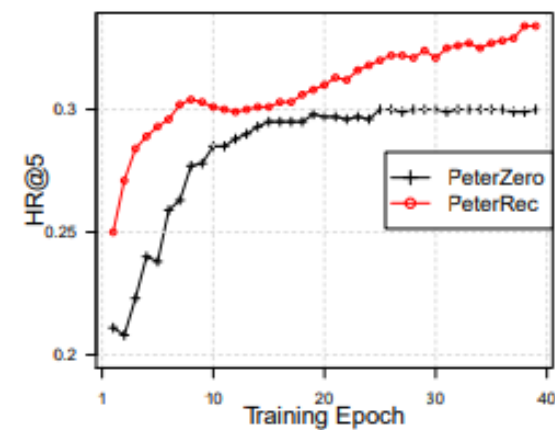
- Motivation
- Related Work
- PeterRec
- Experiments

# Results.

Is pretraining necessary?

PeterZero: no pretraining

PeterRec: with pretraining




**Table 3: Performance comparison (with the non-causal CNN architectures). The number of fine-tuned parameters ( $\vartheta$  and  $\nu$ ) of PeterRec accounts for 9.4%, 2.7%, 0.16%, 0.16%, 0.16% of FineAll on the five datasets from left to right.**

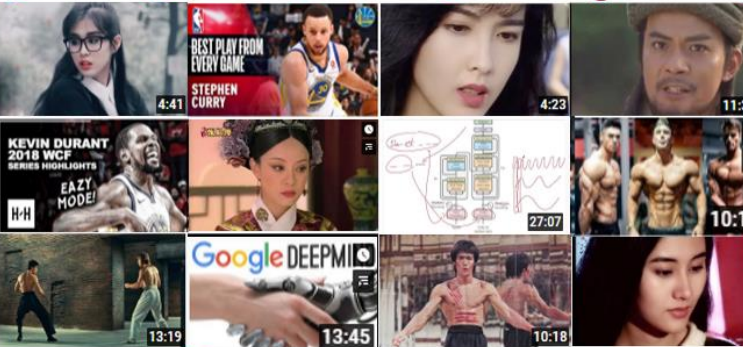
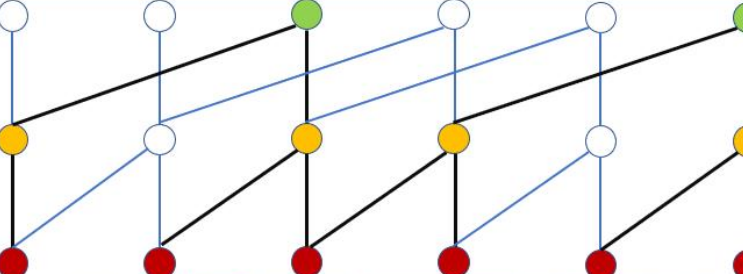
Model	ColdRec-1	ColdRec-2	GenEst	AgeEst	LifeEst
FineCLS	0.295	0.293	0.900	0.679	0.606
FineLast	0.330	0.310	0.902	0.682	0.608
FineAll	0.352	0.338	0.905	0.714	0.615
PeterRec	0.351	0.339	0.906	0.714	0.615

# What can be done by Peterrec

**UserID:** 007XX

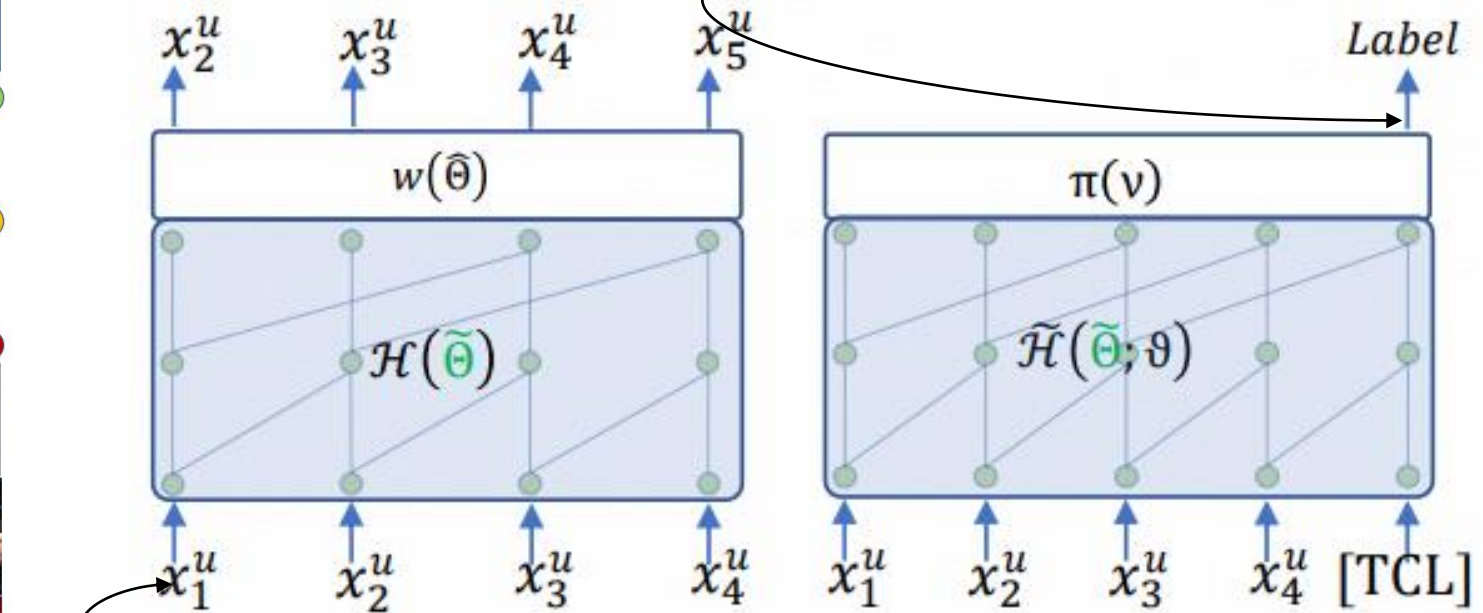
**Gender:** Male  
**Age:** 25-35  
**Profession:** programmer  
**Life Status:** unmarried  
**Health Status:** healthy and positive  
**Tag:** straight, beauty, Chinese, AI, Kung fu, sports





Adolescent mental health - for parents  
Payment capacity - for bank  
Advertising - for company

More



Example : if we have the video watch behaviors of a teenager, we may know whether he has depression or propensity for violence by PeterRec without resorting to much feature engineering and human-labeled data.



